



1 **Universal 2nd Factor (U2F) Overview**

2 **Specification Set: fido-u2f-v1.0-rd-20140209 REVIEW DRAFT**

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9 **Abstract:**

10 The FIDO U2F protocol enables relying parties to offer a strong cryptographic 2nd factor op-
11 tion for end user security. The relying party's dependence on passwords is reduced. The
12 password can even be simplified to a 4 digit PIN. End users carry a single U2F device which
13 works with any relying party supporting the protocol. The user gets the convenience of a sin-
14 gle "keychain" device and convenient security. This document is an overview of the U2F
15 protocol and is a recommended first-read before reading detailed protocol documents.

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34 1 What Is This Document?

35 This document provides an overview of the FIDO Universal 2nd Factor (U2F). It is in-
36 tended to be read **before** the reader reads the detailed protocol documents listed be-
37 low. It is intended to give the reader context for reading the detailed documents. This
38 document is intended as an interpretive aid – it is not normative.

39 After reading this overview, it is recommended that the reader go through the detailed
40 protocol documents listed below in the order they are listed. That order starts the reader
41 at the top layer which is the U2F API and progresses down to lower layers such as the
42 transport framing to the U2F device.

- 43 1. **FIDO U2F Javascript API**
- 44 2. **FIDO U2F Raw Message Formats**
- 45 3. **FIDO U2F USB Framing of APDUs**
- 46 4. **FIDO U2F Application Isolation through Facet Identification**
- 47 5. **FIDO U2F Implementation Considerations**
- 48 6. **FIDO Security Reference**

49 A glossary of terms used in the FIDO specifications is also available:

- 50 7. **FIDO Glossary**

51 These documents may all be found on the FIDO Alliance website at
52 <http://fidoalliance.org/specifications/download/>

53 2 Background

54 The FIDO Alliance mission is to change the nature of online strong authentication by:

- 55 • Developing technical specifications defining open, scalable, interoperable mech-
56 anisms that supplant reliance on passwords to securely authenticate users of on-
57 line services.
- 58 • Operating industry programs to help ensure successful worldwide adoption of the
59 specifications.
- 60 • Submitting mature technical specifications to recognized standards development
61 organization(s) for formal standardization.

62 The core ideas driving the FIDO Alliance's efforts are 1) ease of use, 2) privacy and se-
63 curity, and 3) standardization. The primary objective is to enable online services and
64 websites, whether on the open Internet or within enterprises, to leverage native security
65 features of end-user computing devices for strong user authentication and to reduce the
66 problems associated with creating and remembering many online credentials.

67 There are two key protocols included in the FIDO architecture that cater to two basic op-
68 tions for user experience when dealing with Internet services. The two protocols share
69 many of underpinnings but are tuned to the specific intended use cases.

70 **Universal 2nd Factor (U2F) Protocol**

71 The U2F protocol allows online services to augment the security of their existing pass-
72 word infrastructure by adding a strong second factor to user login. The user logs in with
73 a username and password as before. The service can also prompt the user to present a
74 second factor device at any time it chooses. The strong second factor allows the service
75 to simplify its passwords (e.g. 4-digit PIN) without compromising security.

76 During registration and authentication, the user presents the second factor by simply
77 pressing a button on a USB device or tapping over NFC. The user can use their FIDO
78 U2F device across all online services that support the protocol leveraging built-in sup-
79 port in web browsers.

80 This document that you are reading gives an overview of the U2F protocol.

81 **Universal Authentication Framework (UAF) Protocol**

82 The UAF protocol allows online services to offer password-less and multi-factor secu-
83 rity. The user registers their device to the online service by selecting a local authentica-
84 tion mechanism such as swiping a finger, looking at the camera, speaking into the mic,
85 entering a PIN, etc. The UAF protocol allows the service to select which mechanisms
86 are presented to the user.

87 Once registered, the user simply repeats the local authentication action whenever they
88 need to authenticate to the service. The user no longer needs to enter their password
89 when authenticating from that device. UAF also allows experiences that combine multi-
90 ple authentication mechanisms such as fingerprint + PIN.

91 Please refer to the FIDO website for an overview and documentation set focused on the
92 UAF protocol.

93 3 Goal: Strong Authentication and Privacy for the Web

94 The U2F eco-system is designed to provide strong authentication for users on the web
95 while preserving the user's privacy. The user carries a "U2F device" device as a second
96 factor.

97 When the user **registers** the U2F device at an account at a particular origin (such as
98 www.company.com) the device creates a new key pair usable only at that origin and
99 gives the origin the public key to associate with the account. When the user **authenti-**
100 **cates** (i.e., logs in) to the origin, in addition to username and password, the origin (in
101 this case, www.company.com) can check whether the user has the U2F device by veri-
102 fying a signature created by the device.

103 The user is able to use the same device across multiple sites on the web – it thus
104 serves as the user's physical web keychain – with multiple (virtual) keys to various sites
105 provisioned from one physical device. Using the open U2F standard, any origin will be
106 able to use any browser (or OS) which has U2F support to talk to any U2F compliant
107 device presented by the user to enable strong authentication.

108 The U2F device registration and authentication operations are exposed through
109 Javascript APIs built into the browser and, in following phases, native APIs in mobile
110 OSes.

111 The U2F device can be embodied in various form factors, such as stand alone USB de-
112 vices, stand alone Near Field Communication (NFC) device, stand alone BlueTooth LE
113 devices, built-on-board the user's client machine/mobile device as pure software or uti-
114 lizing secured crypto capabilities. It is strongly preferable to have hardware backed se-
115 curity, but it is not a requirement. However, as we shall see the protocol provides an at-
116 testation mechanism which allows the accepting online service or website to identify
117 the class of device and either accept it or not depending on the particular site's policy.

118 The specs for U2F are in two layers. The upper layer specifies the cryptographic core of
119 the protocol. The lower layer specifies how the user's client will communicate U2F cryp-
120 tographic requests to the U2F device over a particular transport protocol (e.g., USB,
121 NFC, BlueTooth LE, built-in on a particular OS, etc).

122 The current spec set from the U2F group specifies the upper layer (which is unchanged
123 regardless of transport) and the lower layer for the USB transport. Later phases of the
124 protocol spec will specify transports for U2F over NFC, BlueTooth and when built-in (i.e,
125 where the U2F capability is built into the device and accessed locally via the OS).

126 As one of the founders of the U2F working group in FIDO, Google is working to build
127 U2F support into the Chrome browser and will offer U2F as a 2nd factor option on
128 Google accounts to help the start-up of the open ecosystem.

129 A critical factor for success will be that a U2F device "just works" with any modern client
130 device owned by the user without needing additional driver or middleware setup. In this
131 spirit, the USB U2F device is designed to work out of box with existing consumer oper-
132 ating systems with no driver installs or software changes. A U2F device-aware browser

133 is able to discover and communicate with U2F devices using standard built-in OS APIs.
134 To this end, in the first USB based deliverable, we are leveraging the built-in driverless
135 libUSB device support in all modern OSes.

136 4 Site-Specific Public/Private Key Pairs

137 The U2F device and protocol need to guarantee user privacy and security. At the core
138 of the protocol, the U2F device has a capability (ideally, embodied in a secure element)
139 which mints an **origin-specific** public/private key pair. The U2F device gives the public
140 key and a *Key Handle* to the origin online service or website during the user registration
141 step.

142 Later, when the user performs an authentication, the origin online service or website
143 sends the *Key Handle* back to the U2F device via the browser. The U2F device uses
144 the *Key Handle* to identify the user's private key, and creates a signature which is sent
145 back to the origin to verify the presence of the U2F device. Thus, the *Key Handle* is sim-
146 ply an identifier of a particular key on the U2F device.

147 The key pair created by the U2F device during registration is **origin specific**. During
148 registration, the browser sends the U2F device a hash of the origin (combination of pro-
149 tocol, hostname and port). The U2F device returns a public key and a *Key Handle*. Very
150 importantly, the U2F device encodes the requesting origin into the *Key Handle*.

151 Later, when the user attempts to authenticate, the server sends the user's *Key Handle*
152 back to the browser. The browser sends this *Key Handle* and the hash of the origin
153 which is requesting the authentication. The U2F device ensures that it had issued this
154 *Key Handle* to that particular origin hash before performing any signing operation. If
155 there is a mismatch no signature is returned.

156 This origin check ensures that the public keys and *Key Handles* issued by a U2F device
157 to a particular online service or website cannot be exercised by a different online service
158 or website (i.e., a site with a different name on a valid SSL certificate). This is a critical
159 privacy property – assuming the browser is working as it should, a site can verify iden-
160 tity strongly with a user's U2F device only with a key which has been issued to that par-
161 ticular site by that particular U2F device. If this origin check was **not** present, a public
162 key and *Key Handle* issued by a U2F device could be used as a "supercookie" which al-
163 lows multiple colluding sites to strongly verify and correlate a particular user's identity.

164 5 Alerting the User: U2F Device “activation” & Browser Info- 165 bars

166 The U2F device has a physical “test of user presence”. The user touches a but-
167 ton (or sensor of some kind) to “activate” the U2F device and this feeds into the device’s
168 operation as follows:

- 169 • **Registration:** The U2F device responds to a request to generate a key pair only
170 if it has been “activated”. Separately, the browser implementation might ensure
171 that the javascript “ask the U2F device to issue a key pair” call always results in
172 the user seeing an infobar dialog which asks if he/she indeed wants to allow the
173 current site to register the U2F device.
- 174 • **Authentication:** During authentication, the browser sends some data down to
175 the U2F device that it needs to sign (more about this later). The U2F device
176 needs to see a “test of user presence” before it will sign – i.e., the user has to
177 press a button on the device for example. This ensures that a signature happens
178 only with the user’s permission. It also ensures that that malware cannot exercise
179 the signature when the user is not present.

180 When the user attempts to authenticate for the first time to a particular origin (i.e.
181 the javascript call for “Get me a signature from the U2F device” is exercised), the
182 browser may put up an infobar which asks if the user would like to allow the site
183 to talk to the U2F device. In this case, the browser should also present a “Re-
184 member this” option with the infobar so that the browser can remember the per-
185 mission and not ask every time. This setting can be reset (as with other browser
186 settings).

187 In summary, the user will have to touch a button to register, and may also be warned by
188 the browser. The relying party can put up screens which will walk the user through
189 these steps. Registration is a very high value operation – it gives an origin a capability
190 to very strongly verify a user and it needs to be taken very seriously. During authentica-
191 tion (or more generally, whenever the online service or website needs to strongly verify
192 the user by requesting a signature), the user needs to activate the device to demon-
193 strate user presence before the signature can happen.

194 6 Man-In-The-Middle Protections During Authentication

195 If a man-in-the-middle (MITM) tries to intermediate between the user and the origin dur-
196 ing the authentication process, the U2F device protocol can detect it in most situations.

197 Say a user has correctly registered a U2F device with an origin and later, a MITM on a
198 different origin tries to intermediate the authentication. In this case, the user's U2F de-
199 vice won't even respond, since the MITM's (different) origin name will not match the
200 *Key Handle* that the MITM is relaying from the actual origin. U2F can also be leveraged
201 to detect more sophisticated MITM situations as we shall see below.

202 As one of the return values of the U2F "sign" call, the browser returns an object which
203 contains information about what the browser sees about the origin (we will call this the
204 "client data" object). This "client data" includes:

- 205 a) the random challenge sent by the origin,
- 206 b) The origin host name seen by the browser for the web page making the
207 javascript call, and
- 208 c) [optionally] if the [ChannelID extension to TLS](#) is used, the connection's chan-
209 nelID public key.

210 The browser sends a hash of this "client data" to the U2F device. In addition to the hash
211 of the "client data", as discussed earlier, the browser sends the hash of the origin and
212 the *Key Handle* as additional inputs to the U2F device.

213 When the U2F device receives the client data hash, the origin hash and the *Key Handle*
214 it proceeds as follows: If it had indeed issued that *Key Handle* for that origin the U2F de-
215 vice proceeds to issue a signature across the hashed "client data" which were sent to it.
216 This signature is returned back as another return value of the U2F "sign" call.

217 The site's web page which made the U2F "sign" call sends the return values – both the
218 "client data" the signature back to the origin site (or equivalently, relying party). On re-
219 ceiving the "client data" and the signature, the relying party's first step, of course, is to
220 verify that the signature matches the data as verified by the user's origin-specific public
221 key. Assuming this matches, the relying party can examine the "client data" further to
222 see if any MITM is present as follows:

- 223 ● If "client data" shows that an incorrect origin name was seen by the user
- 224 ○ an MITM is present
- 225 ○ (albeit a sophisticated MITM which had also intermediated the registration
226 and thus got the *Key Handle* issued by the U2F device to match the MITM's
227 own origin name, and the MITM is now trying to intermediate an authentica-
228 tion. As noted earlier, an MITM intermediating only at authentication time and
229 not at registration would fail since the U2F device would refuse to sign due to
230 origin mismatch with the *Key Handle* relayed from the original origin by the
231 MITM).

- 232 ● else If “client data” shows a ChannelID OR origin used a ChannelID for the SSL
233 connection:
- 234 ○ If ChannelID in “client data” does not match the ChannelID the origin used, an
235 MITM is present
- 236 ○ (albeit a very sophisticated MITM which possesses an actual valid SSL cert
237 for the origin and is thus indistinguishable from an “origin name” perspective)

238 It is still possible to MITM a user’s authentication to a site if the MITM is

- 239 a) able to get a server cert for the actual origin name issued by a valid CA, and
240 b) ChannelIDs are NOT supported by the browser.

241 But this is quite a high bar.

242 An MITM case which the U2F device does NOT protect against is as follows: Consider
243 an online service or website which accepts plain password but allows users to self-reg-
244 ister and step up to U2F 2nd factor. An MITM with a different origin which is present be-
245 tween the user and the actual site from the time of registration can register the U2F de-
246 vice on to itself and not pass this registration to the actual origin, which would still see
247 the user as just needing a password. Later, for authentications, the MITM can accept
248 the U2F device and just do an authentication with password to the actual origin.

249 Assuming the user does not notice the wrong (different) origin in the URL, the user
250 would think they are logging in to the actual origin with strong authentication and are
251 thus very secure but in reality, they are actually being MITMed.

252 7 Allowing for Inexpensive U2F Devices

253 A key goal of this program is to enable extremely inexpensive yet secure devices. To
254 enable new secure element chips to be as inexpensive as possible it is important to al-
255 low them to have minimal or no onboard memory.

256 A U2F device allows for this. The *Key Handle* issued by the U2F device does not have
257 to be an index to the private key stored on board the U2F device secure element chip.
258 Instead, the *Key Handle* can “store” (i.e., contain) the private key for the origin and the
259 hash of the origin encrypted with a “wrapping” key known only to the U2F device secure
260 element. When the *Key Handle* goes back to the secure element it “unwraps” it to “re-
261 trieve” the private key and the origin that it was generated for.

262 As another alternative, the U2F device could store this “wrapped” information in a table
263 in off-chip memory outside the secure element (which is presumably cheaper). This
264 memory is still on board the U2F device. In this case, the *Key Handle* sent to the origin
265 would be an index into this table in off-chip memory. As another possibility in the design
266 spectrum, the *Key Handle* might only encode the origin and an index number, while the
267 private key might still be kept on board – this would, of course, imply the number of keys
268 is limited by the amount of memory.

269 8 Verifying That a U2F Device Is “genuine”

270 The U2F device protocol is open. However, for effective security, a U2F device has to
271 be built to certain standards – for example, if the *Key Handle* contains private keys en-
272 crypted with some manufacturer specific method, this has to be certified as well imple-
273 mented, ideally by some ‘certification body’ such as FIDO. In addition, the actual crypto-
274 graphic engine (secure element) should ideally have some strong security properties.

275 With these considerations in mind, a relying party needs to be able to identify the type of
276 device it is speaking to in a strong way so that it can check against a database to see if
277 that device type has the certification characteristics that particular relying party cares
278 about. So, for example, a financial services site may choose to only accept hard-
279 ware-backed U2F devices, while some other site may allow U2F devices implemented
280 in software.

281 Every U2F device has a shared “Attestation” key pair which is present on it – this
282 key is shared across a large number of U2F device units made by the same vendor (this
283 is to prevent individual identifiability of the U2F device). Every public key output by the
284 U2F device during the registration step is signed with the attestation private key.

285 The intention is that the public keys of all the “Attestation” key pairs used by each ven-
286 dor will be available in the public domain – this could be implemented by certificates
287 chaining to a root public key or literally as a list. We will work within FIDO to decide the
288 details on how certified vendors can publish their attestation public keys.

289 When such an infrastructure is available, a particular relying party – say, a bank – might
290 choose to accept only U2F devices from certain vendors which have the appropriate
291 published certifications. To enforce this policy, it can verify that the public key from a
292 U2F device presented by the user is from a vendor it trusts.

293 In practice, for high quality U2F devices we expect that the attestation key would be
294 burnt into the on-board secure element – the actual key to be burnt in would be pro-
295 vided by the vendor to the secure element manufacturer for every batch of chips, say
296 about 100,000 units.

297 Note that the attestation key’s presence only guarantees who the vendor is for a well
298 built U2F device – it is one part of the story, albeit a very crucial part. As to whether the
299 U2F device is indeed secure, that guarantee comes from certifications where third par-
300 ties inspect the implementation by the vendor. In summary, attestation is a strong identi-
301 fier of the certifications.

302 In this context, it’s worth noting that a U2F device which stores keys on board rather
303 than exporting them in the *Key Handle* are, in principle, most secure, since it is not vul-
304 nerable to any potential vendor specific vulnerabilities in the design of the encryption of
305 the data in the *Key Handle*. However, a good design with an encrypted *Key Handle* will
306 be well above the bar in security while also being cheaper.

307 At this time, the encryption used to embed private keys in the *Key Handle* are techni-
308 cally not part of the specified protocol. However, strong best practice guidelines are

309 specified in the sample client side javacard applet available in U2F working group mate-
310 rials. It may be appropriate to include a review of particular implementations as part of a
311 U2F certification within FIDO.

312 Note that it is still possible for a vendor to build a U2F compliant device which is not cer-
313 tified and whose attestation keys are **not** published in a “certification database”. A rely-
314 ing party could still choose to accept such devices – but it will do so with the full knowl-
315 edge that that particular device type is not in the certification database.

316 **8.1 Counters as a Signal for Detecting Cloned U2F Devices**

317 The vendor attestation is one method by which an origin can assess a U2F device. In
318 practice, we do not want to prevent other protocol compliant vendors, perhaps even
319 those without any formal secure element, perhaps even completely software implemen-
320 tations. The problem with these non-secure-element based devices, of course, is that
321 they could potentially be compromised and cloned.

322 The U2F device protocol incorporates a usage counter to allow the origin to detect prob-
323 lems in some circumstances. The U2F device remembers a count of the number of sig-
324 nature operations it has performed – either per key pair (if it has sufficient memory) or
325 globally (if it has a memory constraint, this leaks some privacy across keys) or even
326 something in between (e.g., buckets of keys sharing a counter, with a bit less privacy
327 leakage). The U2F device sends the actual counter value back to the browser which re-
328 lays it to the origin after every signing operation. The U2F device also concatenates the
329 counter value on to the hash of the client data before signing so that the origin can
330 strongly verify that the counter value was not tampered with (by the browser).

331 The server can compare the counter value that the U2F device sent it and compare it
332 against the counter value it saw in earlier interactions with the same U2F device. If the
333 counter value has moved backward, it signals that there is more than one U2F device
334 with the same key pair for the origin (i.e., a clone of the U2F device has been created at
335 some point).

336 The counter is a strong signal of cloning but cannot detect cloning in every case – for
337 example, if the clone is only one which is used after the cloning operation and the origi-
338 nal is never used, this case cannot be detected.

339 9 Client Malware Interactions with U2F Devices

340 As long as U2F devices can be accessed directly from user space on the client OS, it is
341 possible for malware to create a keypair using a fake origin and exercise the U2F de-
342 vice. The U2F device will not be able to distinguish 'good' client software from 'bad'
343 client software. On a similar note, it is possible for malware to relay requests from Client
344 machine #1 to a U2F device attached to client machine #2 if the malware is running on
345 both machines. This is conceptually no different from a shared communication channel
346 between the Client machine (in this case #1) and the U2F device (which happens to be
347 on machine #2). It is not in scope to protect against this situation.

348 Protection against malware becomes more possible if the U2F client is built into the OS
349 system layer as opposed to running in user space. The OS can obtain exclusive access
350 to U2F devices and enforce methods to ensure origin matches.

351 10 U2F Device User Experience

352 As described earlier access to the U2F device is manifested in two javascript functions
353 available in the browser – one for creating a key pair and one for generating a signa-
354 ture. These are used by an origin online service or website to create a user flow.

355 10.1 Registration: Creating a Key Pair

356 The to-be-registered user is verified by the origin site (with username and password or
357 whatever other means). The registration page rendered by the origin in the browser
358 calls the javascript function for creating a key pair. When the javascript function is
359 called, the user may see a browser infobar warning which he/she has to approve. After
360 user approval, the key pair generation request is sent to every U2F device attached to
361 the computer.

362 The first U2F device attached to the computer which has a positive “test of user pres-
363 ence” (i.e., the first attached U2F device on which the user presses the button) re-
364 sponds to this request. The browser packages the response from the U2F device (key
365 handle, public key etc) and returns it to the web page as return results of the javascript
366 function call. The registration web page sends these to the origin site and the origin
367 sites stores this information indexed by the user’s account to complete the registration
368 process.

369 10.2 Authentication: Generating a Signature

370 The user starts the authentication process typically with username and password (or
371 with just the username, if the site only wants a U2F device verification). The origin site
372 renders an intermediate authentication page into which it sends the user’s *Key Handle*
373 and a nonce. It then calls the javascript function to create a signature. The parameters
374 for the function call are the *Key Handle* and the nonce.

375 When the signature function is called, the browser may show an infobar asking for the
376 user’s approval (the user may choose to ask the browser to skip this in future). After the
377 user’s approval, the browser talks to all the U2F devices attached to the computer as
378 described earlier and assembles their responses.

379 The javascript function call returns the “client data” object and the first signature re-
380 sponse from a U2F device that replied. The intermediate authentication web page
381 sends the “client data” and the U2F device responses on to the relying party, which de-
382 termines if any of the signatures matches what it expects.

383 Note that depending on the U2F implementation multiple devices could reply for a par-
384 ticular *Key Handle*. For example, consider the case where the *Key Handle* is imple-
385 mented purely as an index into memory on board the U2F device (and thus was just,

386 say, a small integer). The user may have registered multiple U2F devices to a particular
387 account on a particular origin and some of those devices could have used the same in-
388 dex integer as *Key Handle* for that particular account on that particular origin.

389 Note that though the user does not necessarily have to see the intermediate page de-
390 scribed above. If the correct U2F device is present, then the signatures can be obtained
391 and sent back to the origin and the authentication is completed. The user needs to see
392 intermediate screens only for error conditions (“Please insert your U2F device”, “We re-
393 quire you to activate your U2F device” etc).

394 11 U2F Device Usage Scenarios

395 Though the description so far has been in context of a particular user using a single de-
396 vice across multiple accounts, the usage scenarios enabled are broader.

397 11.1 Sharing a U2F Device Among Multiple Users

398 Note that a U2F device has no concept of a user – it only knows about issuing keys to
399 origins. So a person and their spouse could share a U2F device and use it for their indi-
400 vidual accounts on the same origin. Indeed, as far as the U2F device is concerned the
401 case of two users having accounts on the same origin is indistinguishable from the case
402 of the same user having two accounts on that origin.

403 Needless to say, the general case where multiple persons share a single U2F device
404 and each person has accounts on whatever origins they choose is similarly supported in
405 U2F.

406 11.2 Registering Multiple U2F Devices to the Same Account

407 U2F does not limit the user to have a single device registered on a particular account on
408 a particular site. So for example, a user might have a U2F device mounted permanently
409 on two different computers, where each U2F device is registered to the same account
410 on a particular origin – thus allowing both computers to login securely to that particular
411 origin.

412 If a user has registered multiple U2F devices to a particular account, then during au-
413 thentication all the *Key Handles* are sent by the origin to the intermediate page. The in-
414 termediate page call the signature javascript function with the array of *Key Handles* and
415 sends the aggregated response back to the origin. Each attached activated U2F device
416 signs for those *Key Handles* in the array that it recognizes. The user authentication ex-
417 perience is unchanged.

418 As an optimization, note that when a origin detects a particular *Key Handle* is used suc-
419 cessfully to authenticate from a particular browser, it can remember that *Key Handle* for
420 future reference by setting a cookie on that browser and trying that *Key Handle* first be-
421 fore attempting other *Key Handles*.

422 12 U2F Privacy Considerations: A Recap

423 As the reader would have noticed, user privacy is a fundamental design consideration
424 for the U2F protocol. The various privacy related design points are reiterated here:

- 425 1. A U2F device does not have a global identifier visible across online services or
426 websites.
- 427 2. A U2F device does not have a global identifier within a particular online service
428 or website
 - 429 ○ Example 1: If a person loses their U2F device, the finder cannot “point it at a
430 website” to see if some accounts get listed. The device simply does not know.
 - 431 ○ Example 2: If person A and B share a U2F device and they have each regis-
432 tered their accounts on site X with this device, there isn't any way for the site
433 X to guess that the two accounts share a device based on the U2F protocol
434 alone.
- 435 3. A key issued to a particular online service or website can only be exercised by
436 that online service or website.
 - 437 ○ Since a key is essentially a strong identifier this means U2F does not give any
438 signal which allows online services or websites to strongly cross-identify
439 shared users.
- 440 4. A user has to activate the U2F device (i.e., “press the button”) before it will issue
441 a key pair (for registration) or sign a challenge.
- 442 5. The browser may notify the user before they form a U2F relationship with an on-
443 line service or website
 - 444 ○ An infobar could appear whenever the “issue a key” javascript call is made.
 - 445 ○ An infobar (with a once-only option) could appear when the “sign with this
446 key” javascript call is made for a particular origin

447 The infobar approach puts a decision burden on the users - this is a downside and the
448 infobar UX design has to be done with care.

449 13 Other Privacy Related Issues

450 13.1 An Origin Can Discover that Two Accounts Share a U2F Device

451 The origin specific key issuance still leaves one possible privacy leak – which is the
452 case where a person with a single U2F device uses it to generate keys to two separate
453 accounts with the same origin. Say the two different accounts are associated with user-
454 names *u_1* and *u_2* in the site's name space. Now when *u_1* is attempting to authenti-
455 cate, the origin can send down *KeyHandle_2* to the U2F device. If it returns a valid sig-
456 nature, it can infer that *u_1* and *u_2* belong to the same person or two persons who
457 share the same computer who happen to have their U2F devices plugged in simultane-
458 ously. This is true even if the users have taken precautions to hide their client identity
459 from the origin server (using an anonymizing proxy, incognito mode etc).

460 It is possible to enhance the U2F device specification to catch this case but it compli-
461 cates the user experience and we chose not to do so. Users who are concerned about
462 this line of attack would need to use different U2F devices for different accounts on the
463 same site and plug in only the relevant U2F device and no other when initiating a ses-
464 sion for a particular account.

465 13.2 Revoking a Key From an Origin

466 Say a user registers their U2F device on an online service or website which has unsa-
467 vory practices without the user realizing that the online service or website is unsavory.
468 Later the user wants to cut off association with that site. It should ideally be possible for
469 the user to “delink” the key such that the U2F device starts behaving as if it no longer
470 owns the key. Thus the site cannot strongly verify the user even if it can do social engi-
471 neering to make the user click past warnings.

472 It is possible for a vendor to design a U2F device which can be “reset” – in that it stops
473 honoring any key it has issued before the reset. This might mean the earlier *Key Han-*
474 *dles* need to have a generation count and a reset makes the U2F device reject all keys
475 older than the current generation count. Alternatively, if the U2F device uses a key
476 wrapping mechanism, a “reset” could throw away the old wrapping key and replace it.
477 This renders all earlier keys issued by the device useless, since the device can no
478 longer make any sense of them.

479 However, if the secure element is stateless and has no hard reset ability, all this ‘revo-
480 cation’ logic has to be implemented as blacklists in firmware outside the secure element
481 (for eg, code on the USB intermediary). In such a case it is possible for a dedicated at-
482 tacker (e.g., a spy service) to extract the secure element and verify if it indeed does
483 work against keys it has issued in the past. One revocation safeguard available to the

484 user is physical destruction of the U2F device – this could be useful in sensitive high
485 value situations (e.g., a political dissident).

486 **14 Considerations for Immediate Future: Non-USB Transports**

487 As discussed earlier, USB based devices will be followed immediately by other trans-
488 ports which are becoming available widely for local communication – in specific, NFC
489 and Bluetooth LE and built-in U2F devices.

490 If the communication between the computer (or more generally, the user's client device)
491 and the device happens over an unencrypted public channel, there is potential for some
492 degree of privacy leakage against a motivated dedicated eavesdropper. Specifically, ev-
493 ery time a user logs in to a particular origin, the *Key Handle* for that origin and the hash
494 of the origin are sent to the U2F device by the user's computer. Someone listening to
495 this traffic over time can build a behavior profile of when a user (identified by the MAC
496 address of the U2F device) logs into a particular site (identified by the origin hash). They
497 could even identify which account is being used (as identified by the *Key Handle*).

498 For any transport which could be eavesdropped by a third party, we need to consider a
499 transport level encryption between the user's computer and the U2F device. As a histor-
500 ical note, early versions of the U2F working draft protocols tried to lift this notion of
501 "channel protection" into the protocol but this was taken out since it added too much
502 weight relative to the benefit.

503 15 Expanding U2F to Non-browser Apps

504 The discussion above has been focused on the browser as the client side vehicle, with
505 a Javascript API to talk to U2F devices. However, it is perfectly sensible to have app on
506 a mobile OS such as Android talk to U2F devices over a system API.

507 When building a native system API, we still need a notion of “origin”. For example, if
508 foo.com’s app mints a key on a particular U2F device, then bar.com’s app should not be
509 able to exercise that key. Even more importantly, if the user uses the foo.com web app
510 on a computer and foo.com’s app on a mobile device, the user needs to be able to use
511 the same U2F device with both. This means that there has to be mechanism where the
512 origin sent down to the U2F device by the browser for the foo.com web page matches
513 the origin sent down to the U2F device by the mobile OS for the foo.com app.

514 This is achieved by specifying a level of indirection using the notion of an “application
515 id”, which is a generalization of the origin concept. The “application id” is a publicly
516 fetchable https URL where a particular origin (such as foo.com) lists its various “facets”
517 – for example, it may list the hostname “www.foo.com” and the identifier for the signa-
518 tures of foo.com’s android app. The application id https URL is assumed to be under the
519 control of the origin – in other words, only it can change the list of “facets”.

520 The origin website or online service sends its “application id” down as a parameter to
521 the U2F API on the web page. The browser fetches the content of the “application id”
522 URL and ensures that the actual origin it sees for the web page calling the U2F API is
523 indeed listed in the “facets” in the “application id” URL. For example, if a page served off
524 www.foo.com makes a U2F API call, then this host name needs to be listed as a facet in
525 the “application id” which is passed down. Similarly when a particular mobile app
526 passes a “application id” to a U2F API on a mobile OS, the OS checks if the code sign-
527 ing signature of that particular app is listed as a facet in the “application id”. After these
528 check if the “facet” is indeed in the “application id” as expected, the hash of the “applica-
529 tion id” is sent down to the U2F device, rather than the hash of the “origin”. This ensures
530 that foo.com’s web page and foo.com’s mobile app both are seen as the same site by
531 the U2F device. As mentioned earlier, the “application id” is a generalized notion of an
532 origin.