

- 2 Specification Set: fido-u2f-v1.0-rd-20140209 REVIEW DRAFT
- **Editors:**
- 4 Dirk Balfanz (balfanz@google.com)
- 5 Contributors:
- 6 Abstract:
- 7 The U2F Javascript API consists of two calls one to register a U2F token with a relying party
- 8 (i.e., cause the U2F token to generate a new key pair, and to introduce the new public key to
- 9 the relying party), and one to sign an identity assertion (i.e., exercise a previously-registered
- 10 key pair).

11 Status:

- 12 This Specification has been prepared by FIDO Alliance, Inc. This is a Review Draft Specifica-
- tion and is not intended to be a basis for any implementations as the Specification may
- 14 **change**. Permission is hereby granted to use the Specification solely for the purpose of review-
- ing the Specification. No rights are granted to prepare derivative works of this Specification. En-
- tities seeking permission to reproduce portions of this Specification for other uses must contact
- 17 the FIDO Alliance to determine whether an appropriate license for such use is available.
- 18 Implementation of certain elements of this Specification may require licenses under third party
- intellectual property rights, including without limitation, patent rights. The FIDO Alliance, Inc.
- and its Members and any other contributors to the Specification are not, and shall not be held, re-
- 21 sponsible in any manner for identifying or failing to identify any or all such third party intellec-
- 22 tual property rights.
- 23 THIS FIDO ALLIANCE SPECIFICATION IS PROVIDED "AS IS" AND WITHOUT ANY
- 24 WARRANTY OF ANY KIND, INCLUDING, WITHOUT LIMITATION, ANY EXPRESS OR
- 25 IMPLIED WARRANTY OF NON-INFRINGEMENT, MERCHANTABILITY OR FITNESS
- 26 FOR A PARTICULAR PURPOSE.

27 Copyright © 2014 FIDO Alliance, Inc. All rights reserved.



Table of Contents

1 Notation	
5 Identity Assertions	1
Ribliography	



28 1 Notation

29 Below we explain some of the terms used in this document:

Term	Definition
websafe-base64 encoding	This is the "Base 64 Encoding with URL and Filename Safe Alphabet" from Section 5 in RFC 4648 without padding.
stringified javascript object	This is the JSON object (i.e., a string starting with "{" and ending with "}") whose keys are the property names of the javascript object, and whose values are the corresponding property values. Only "data objects" can be stringified, i.e., only objects whose property names and values are supported in JSON.

30 U2F specific terminology used in this document is defined in [FIDOGlossary]

31 1.1 Key Words

- The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT",
- "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this doc-
- ument are to be interpreted as described in [RFC2119].



35 2 Introduction

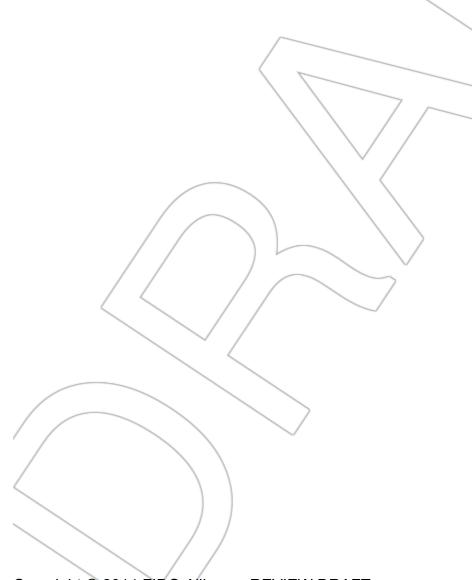
- Note: Reading the 'FIDO U2F Overview' [U2FOverview] is recommended as a back-
- 37 ground for this document.
- 38 A Relying Party (RP) consumes identity assertions from U2F tokens. The RP uses
- Javascript calls to communicate with the U2F tokens on the client. The RP also needs
- 40 to perform some verification steps on the server side (see below). How the data ob-
- 41 tained by the RP's Javascript is transferred to the RP's server is out of scope of this
- document. We instead describe the Javascript API (using WebIDL) used by the RP.



Copyright © 2014 FIDO Alliance: REVIEW DRAFT

3 The CryptoTokenHandler

```
44
    The CryptoTokenHandler is used both for registrations and identity assertions.
45
    callback SuccessCallback =
        void ((SignResponse or RegistrationResponse) response);
46
47
    callback ErrorCallback =
48
        void (CryptoTokenCodeTypes errorCode);
    [Constructor(SuccessCallback successCallback, ErrorCallback errorCallback)]
49
    interface CryptoTokenHandler {
50
51
      void handleSignRequest(SignData[] challenges);
52
      void handleRegistrationRequest(
          RegistrationData registrationData, SignData[] challenges);
53
54
    }
```



4 Registration

55

59

60

61

- To register a U2F token for a user account at the RP, the RP must;
- decide which version of device it wants to register (if it supports multiple versions
 of the protocol, it should perform separate registration operations).
 - pick an appropriate <u>application id</u> for the registration request, and
 - store all private information associated with the registration (expiration times, user ids, etc.) opaquely in a "sessionID" parameter.
- It can then prepare an RegistrationData dictionary with these parameters:

```
63
    dictionary RegistrationData {
64
      // Version of the protocol that the to-be-registered U2F token must speak.
65
      // For the version of the protocol described herein, must be 'U2F_V2'
66
      DOMString version;
67
      // The websafe-base64-encoded challenge.
68
      DOMString challenge;
      // The application id that the RP would like to assert. The new key pair
69
70
      // that the U2F device generates will be associated with this application
71
72
      DOMString app_id;
      // A session id created by the RP. The RP can opaquely store things
73
      // like expiration times for the registration session,
74
75
      // protocol version used, private key material that certain
      // protocol versions require, etc.
76
      // The response from the API will include the sessionId. This allows the
77
      // RP to fire off multiple registration requests, and associate
78
79
      // the response with the correct request. (Note: this might be more
80
      // accurately called 'relying party state', but for compatibility with
      // existing implementations within Chrome we keep the legacy name.)
81
82
      DOMString sessionId;
83
    }
    Additionally, it should prepare SignData objects for each U2F token that the user has al-
84
    ready registered with the RP (see below) and then call handleRegistrationRequest on a
85
    CryptoTokenHandler object:
86
87
       Looks for a locally-attached non-registered U2F device, and asks it to
88
89
       generate a new key pair (and have it attested by an attestation
90
       certificate).
```

```
92
        @param registrationData the data supplied by the RP, such as
 93
          the application id to which the new key pair will be bound,
 94
          alongside with the challenge and sessionId.
      * @param challenges identity assertion challenges for U2F devices that the
 95
 96
          user has already registered. This allows the user-agent to
 97
          identify those locally-attached U2F devices that are already
 98
          registered, and not ask them to register again.
 99
      * @param timeout A timeout (in seconds). The browser SHOULD respond
          within this timeout and clean up all allocated space when one or the
100
          other happens: (1) Either a success or failure condition occurred,
101
102
          (2) the timeout elapsed. This parameter is optional and - if
          omitted - defaults to 30
103
      */
104
105
     void handleRegistrationRequest(RegistrationData registrationData,
106
        SignData[] challenges, int? timeout);
     The web browser will create a registration request message from the registrationData.
107
     and authentication request messages from the challenges (see the U2F Raw Message
108
     Formats document [U2FRawMsgs]), and attempt to perform a registration operation
109
     with a U2F token. The authentication request messages will have the checkOnly bool-
110
     ean of the control state set to true, and are used to identity such U2F tokens that are al-
111
     ready registered with the relying party. The registration request message is then used to
112
     register such U2F tokens that are not already registered.
113
     The web browser SHOULD check the supported version of available U2F tokens (using
114
     the GetVersion messages - see U2F Raw Message Formats document [U2FRawMsgs])
115
     to ensure that the registration request message will only be sent to U2F tokens that un-
116
     derstand the version of the protocol described herein.
117
     Note that as part of creating the registration request message, the web browser will
118
     have to create a Client Data object (see the U2F Raw Message Formats document
119
     [U2FRawMsgs]). This Client Data object will be returned to the caller as part of the call-
120
121
     back (see below).
122
     The CryptoTokenHandler object will call either the successCallback or the errorCall-
     back. In the case of the errorCallback, a CryptoTokenCodeType error code is passed to
123
124
     the callback:
     interface CryptoTokenCodeTypes {
125
126
      * All available U2F tokens are already registered.
127
128
     const short ALREADY REGISTERED = 2;
129
130
      * None of the available U2F devices are registered.
131
132
133
     const short NONE REGISTERED FOUND = 3;
```

```
* One or more devices are lacking test-of-user-presence (TUP)
135
136
      * (e.g., missing touch).
      */
137
138
     const short WAIT_TUP = 4;
139
140
      * No U2F devices found.
141
     const short NONE_FOUND = 5;
142
143
144
      * Time out waiting for touch.
145
     const short TOUCH_TIMEOUT = 6;
146
147
148
      * Unknown error during registration.
149
     const short UNKNOWN_ERROR = 7;
150
151
      * FIDO Client not available.
152
153
154
     const short CLIENT_NOT_FOUND = 8;
155
     /**
      * Empty SignData was passed to the handleSignRequest method.
156
157
158
     const short EMPTY_SIGN_DATA = 9;
     /**
159
160
      * Bad request.
161
     const short BAD_REQUEST = 12;
162
163
164
      * All U2F tokens are too busy to handle your request.
165
     const short BUSY = 13;
166
167
      * There is a bad app_id in the request.
168
169
170
     const short BAD_APP_ID = 14;
171
```

- Note that the errorCallback could be called multiple times, e.g. with the WAIT_TOUCH
- code, while we wait for the user to tap the U2F token. In the case of the successCall-
- back, a RegistrationResponse is passed to the successCallback:

```
175
     dictionary RegistrationResponse {
       // websafe-base64(raw registration response message)
176
       DOMString registrationData;
177
       // websafe-base64(UTF8(stringified(client data)))
178
179
       DOMString bd;
180
       // session id originally passed to handleRegistrationRequest
181
       DOMString sessionId;
182
     }
```

- The browser will call the successCallback only once. If there are multiple U2F tokens
- that responded to the registration request, the browser will pick one of the responses
- and pass it to the caller.
- The RP must validate the registration response message, which is passed to the caller
- in websafe-base64-encoded form as the registrationData field. Presumably, the relying
- party's client-side Javscript code will transmit the message to the server (along with the
- 189 Client Data and session id), where it will be verified. See the U2F Raw Message For-
- mats document [U2FRawMsgs] for a description of the registration response message,
- and how to validate the signature.
- 192 The transmission of the registration response message from client to server should hap-
- pen over an authenticated HTTP session that is associated with a certain user account
- at the relying party. The relying party thus can associate the above public key and key
- 195 handle with that user.



196

5 Identity Assertions

- To obtain an identity assertion from a locally-attached U2F token, the RP must
- prepare a SignData object for each U2F token that the user has currently registered with the RP:

```
200
     dictionary SignData {
       // Version of the protocol that the to-be-registered U2F token must speak.
201
202
       // For the version of the protocol described herein, must be 'U2F_V2'
203
       DOMString version;
204
       // The websafe-base64-encoded challenge.
205
206
       DOMString challenge;
207
       // The application id that the RP would like to assert. The U2F token will
208
       // enforce that the key handle provided above is associated with this
209
       // application id. The browser enforces that the calling origin belongs to
210
       // the application identified by the application id.
211
       DOMString app id;
212
       // websafe-base64 encoding of the key handle obtained from
213
       // the U2F token during registration.
214
       DOMString keyHandle;
215
       // A session id created by the RP. The RP can opaquely store things
       // like expiration times for the sign-in session, protocol version used,
216
217
       // public key expected to sign the identity assertion, etc.
218
       // The response from the API will include the sessionId. This allows the
219
       // RP to fire off multiple signing requests, and associate the responses
220
       // with the correct request.
221
       DOMString sessionId;/
222
     The RP then calls handleSignRequest on a CryptoTokenHandler object:
223
224
      * Looks for available registered U2F devices, and attempts to obtain
225
226
      st a signature for at least one of the provided challenges. The U2F device
227
      * will not sign the provided challenge directly. Instead, it will sign a
      * ClientData object (see below), which will contain (among other things)
228
229
      * the challenge passed in as part of the SignData object.
230
231
        @param challenges identity assertion challenges for U2F devices that the
232
         /user has already registered.
233
      * @param timeout A timeout (in seconds). The browser SHOULD respond
234
          within this timeout and clean up all allocated space when one or the
235
          other happens: (1) Either a success or failure condition occurred,
236
         (2) the timeout elapsed. This parameter is optional and - if
237
          omitted - defaults to 30
238
```

- 239 void handleSignRequest(SignData[] challenges, int? timeout); The web browser now performs the following steps: First, it verifies the application iden-240 tity of the caller (see the document "U2F Application Isolation through Facet Identifica-241 tion"). Using the provided challenge, it creates a client data object. Using the client data, 242 the application id, and the key handle, it creates a raw authentication request message 243 (see the U2F Raw Message Formats document [U2FRawMsgs]) and sends it to the 244 U2F token. 245 246 Eventually the CryptoTokenHandler object will call either the successCallback or the errorCallback. In the case of the errorCallback, a CryptoTokenCodeType error code is 247 passed to the errorCallback (see above). Note that the errorCallback could be called 248 multiple times, e.g. with the WAIT TOUCH code, while we wait for the user to tap the 249 U2F token. The successCallback is called at most once. If there are multiple U2F to-250 kens that responded to the authentication request, the browser will pick one of the re-251 sponses and pass it to the caller. 252
- In the case of the successCallback, a SignResponse is passed to the successCallback:

```
254
     dictionary SignResponse {
255
       // websafe-base64(client data)
256
       DOMString bd;
       // websafe-base64(raw response from U2F device)
257
258
       DOMString sign;
259
       // challenge originally passed to handleSignRequest
260
       DOMString challenge;
       // session id originally passed to handleSignRequest
261
262
       DOMString sessionId;
       // application id originally passed to handleSignRequest
263
264
       DOMString app id;
265
     }
     We explain the first two parameter in the response below: The 'bd' parameter is a web-
266
     safe-base64-encoding of the UTF-8 encoding of a (serialized) JSON Object representa-
267
268
     tion of the following type:
     dictionary ClientData {
269
270
       // the constant 'navigator.id.getAssertion' for authentication, and
       // 'navigator.id.finishEnrollment' for registration
271
272
       DOMString typ;
273
       // The base64-encoding of the challenge passed to handleSignRequest and
274
       // handleRegistrationRequests
275
       DOMString challenge;
```

```
276
       // the web origin of the caller to handleSignRequest. Note that
       // the browser won't allow the call to handleSignRequest to succeed
277
278
       // unless this origin is a facet of the passed-in application id.
279
       DOMString origin;
280
       // The Channel ID public key used by this browser to communicate with the
       // above origin. This parameter is optional, and missing if the browser
281
282
       // doesn't support Channel ID. It is present and set to the constant
       // 'unused' if the browser supports Channel ID, but is not using
283
       // Channel ID to talk to the above origin (presumably because the origin
284
285
       // server didn't signal support for the Channel ID TLS extension).
       // Otherwise (i.e., both browser and origin server at the above
286
       // origin support Channel ID), it is present and of type JwkKey
287
288
       (DOMString or JwkKey) cid_pubkey;
     }
289
290
     // A dictionary representing the public key used by a browser for the
291
     // Channel ID TLS extension. The current version of the Channel ID draft
     // prescribes the algorithm (ECDSA) and curve used, so the dictionary will
292
293
     // have the following parameters:
294
     dictionary JwkKey {
295
       // signature algorithm used for Channel ID, i.e., the constant 'EC'
296
297
       DOMString kty;
       // Elliptic curve on which this public key is defined, i.e., the constant
298
299
       // 'P-256'
       DOMString crv;
300
301
       // websafe-base64-encoding of the x coordinate of the public
302
       // key (big-endian, 32-byte value)
303
       DOMString x;
       // websafe-base64-encoding of the y coordinate of the public
304
305
       // key (big-endian, 32-byte value)
306
       DOMString y;
307
     }
```

The RP must validate the sign parameter from the SignResponse (presumably serverside). The (base64-decoded) sign parameter is the raw authentication response message as explained in the U2F Raw Message Formats document [U2FRawMsgs]. Apart from verifying the signature (as explained there),

- The RP should verify that the counter value is increasing.
- The RP should validate the ClientData (i.e., verify that the Channel ID, origin, challenge, and typ parameters equal expected values).
- The RP should validate the application id used during the signing as one that it is using. Most servers will use a constant application id, but a hosting provider might use several applications id.

312

313

314

315

316

Bibliography

- 319 FIDO Alliance Documents:
- 320 **[FIDOGlossary]** Rolf Lindemann, Davit Baghdasaryan, Brad Hill, John Kemp. FIDO
- Technical Glossary. Version v1.0-rd-20140209, FIDO Alliance, February 2014. See
- http://fidoalliance.org/specs/fido-glossary-v1.0-rd-20140209.pdf
- 323 [U2FOverview] Sampath Srinivas, Dirk Balfanz, Eric Tiffany. FIDO Universal 2nd
- Factor (U2F) Overview. Version v1.0-rd-20140209, FIDO Alliance, February 2014. See
- 325 http://fidoalliance.org/specs/fido-u2f-overview-v1.0-rd-20140209.pdf
- 326 [U2FRawMsgs] Dirk Balfanz. FIDO U2F Raw Message Formats. Version v1.0-rd-
- 20140209, FIDO Alliance, February 2014. See http://fidoalliance.org/specs/fido-u2f-raw-
- 328 message-formats-v1.0-rd-20140209.pdf
- 329 Other References:
- [RFC2119] Key words for use in RFCs to Indicate Requirement Levels (RFC2119), S.
- 331 Bradner, March 1997

