



1 FIDO U2F Application Isolation through 2 Facet Identification

3 **Specification Set: fido-u2f-v1.0-rd-20140209 REVIEW DRAFT**

4 **Editors:**

5 Dirk Balfanz (balfanz@google.com)

6 **Contributors:**

7 **Abstract:**

8 This document specifies how FIDO should enforce application isolation. In particular, it out-
9 lines a mechanism that relies on two properties of the FIDO client:

- 10 1. The FIDO client, and only the FIDO client, can talk to the FIDO authenticator directly.
- 11 2. The FIDO client can security identify the application making a FIDO request.

12 The document explains why it is reasonable to assume Point (1) above, and also explain how
13 an addition level of indirection between what we call a facet id and an application identity,
14 combined with Point (2), allows us to move authenticators between devices.

15 **Status:**

16 This Specification has been prepared by FIDO Alliance, Inc. **This is a Review Draft Specification and is not intended to be a basis for any implementations as the Specification may**
17 **change.** Permission is hereby granted to use the Specification solely for the purpose of review-
18 ing the Specification. No rights are granted to prepare derivative works of this Specification. En-
19 tities seeking permission to reproduce portions of this Specification for other uses must contact
20 the FIDO Alliance to determine whether an appropriate license for such use is available.
21

22 Implementation of certain elements of this Specification may require licenses under third party
23 intellectual property rights, including without limitation, patent rights. The FIDO Alliance, Inc.
24 and its Members and any other contributors to the Specification are not, and shall not be held, re-
25 sponsible in any manner for identifying or failing to identify any or all such third party intellec-
26 tual property rights.

27 THIS FIDO ALLIANCE SPECIFICATION IS PROVIDED “AS IS” AND WITHOUT ANY
28 WARRANTY OF ANY KIND, INCLUDING, WITHOUT LIMITATION, ANY EXPRESS OR
29 IMPLIED WARRANTY OF NON-INFRINGEMENT, MERCHANTABILITY OR FITNESS
30 FOR A PARTICULAR PURPOSE.

31 Copyright © 2014 FIDO Alliance, Inc. All rights reserved.

Table of Contents

1 Notation.....	4
1.1 Key Words.....	4
2 Background.....	5
3 Overview.....	7
4 Definitions.....	8
5 Detailed Specification.....	9
5.1 Registration.....	10
5.2 Sign-In.....	10
5.3 Example.....	11
Bibliography.....	14

32 1 Notation

33 Type names, attribute names and element names are written in *italics*.

34 String literals are enclosed in “”, e.g. “UAF-TLV”.

35 In formulas we use “|” to denote byte wise concatenation operations.

36 U2F specific terminology used in this document is defined in [FIDOGlossary]

37 1.1 Key Words

38 The key words “MUST”, “MUST NOT”, “REQUIRED”, “SHALL”, “SHALL NOT”,
39 “SHOULD”, “SHOULD NOT”, “RECOMMENDED”, “MAY”, and “OPTIONAL” in this doc-
40 ument are to be interpreted as described in [RFC2119].

41 2 Background

42 *Note: Reading the 'FIDO U2F Overview' [U2FOverview] is recommended as a back-*
43 *ground for this document.*

44 Identity assertions in FIDO should be application-specific. In other words, Phish-
45 ers-Я-Uс must not be able to obtain a user's PayPal credentials from that user's au-
46 thenticator. This can be achieved by always including the requesting application (Phish-
47 ers-Я-Uс vs. PayPal) in the identity assertion (thus making the identity assertion obtain-
48 able by Phishers-Я-Uс unusable with the PayPal app), but that is not enough: for pri-
49 vacy reasons, the user's authentication key itself (i.e., the key making the identity asser-
50 tion) should be application-specific, so as to not allow user identity correlation across
51 different applications (i.e., a user's authenticator should use a different authentication
52 key for Phishers-Я-Uс than it uses for PayPal). A particularly strong expression of this
53 principle is that FIDO authenticators should indeed **refuse** to make "cross-application"
54 identity assertions (i.e., a user's authentication key for PayPal will never be used by the
55 user's authenticator to issue identity assertions for Phishers-Я-Uс, even assuming that
56 such an assertion would correctly identify the Phishers-Я-Uс application as the target of
57 the authentication), so as to not give Phishers-Я-Uс a tool to learn the PayPal identity of
58 the user.

59 The problem, therefore, is how we can enforce this application-binding of keys, and pro-
60 hibit cross-application identity assertions. This document specifies a simple solution:

- 61 1. FIDO authenticators record somehow which user authentication keys should be
62 used with which application, and
- 63 2. a trusted piece of software (the FIDO client) provides the FIDO authenticator with
64 the application identity every time it asks the FIDO authenticator to issue an iden-
65 tity assertion. The authenticator then simply compares the application that a
66 given authentication key was bound to with the application identity provided by
67 that trusted piece of software and only issues an identity assertion if the applica-
68 tion identities match.

69 This general approach enables *portable* authenticators, i.e., if I unplug an authenticator
70 from one computer and plug it into another, I will be able to authenticate from the sec-
71 ond computer without having to re-register the authenticator with the web site that I
72 want to use. For example, if I use an authenticator to authenticate to paypal.com from
73 computer A, I will be able to authenticate to paypal.com from computer B. This is be-
74 cause both computers will identify the application in question identically to the authenti-
75 cator.

76 But what happens when PayPal gets bought by eBay, and their URL changes to ebay-
77 payments.com? What happens when I use the PayPal Android app instead of the pay-
78 pal.com desktop web site? The authenticator should re-use the same user authentica-
79 tion key in those cases, even though the application identity arguable is different. In this
80 document, we assume that the application that wishes to make use of a FIDO authenti-

81 cator is identified by two separate monikers: the *application identity*, and the *facet iden-*
82 *tity*. Across all facets of an applications (the various web origins it uses, its Anroid app,
83 its iOS app, etc.) the application identity remains the same, while the facet identity iden-
84 tifies the particular application facet.

85 Identity assertions are made specific to a *facet identity*, but they're signed with a key
86 that is specific to an *application identity*. (More on this below.)

87 3 Overview

88 The main idea is that instead of binding user authentication keys to web origins, we bind
89 them to an application identity. So instead of saying “this keypair can only be used with
90 paypal.com”, we say “this keypair can only be used by the PayPal application”.

91 An “application”, for the purpose of this specification, can have multiple facets. For ex-
92 ample, the various facets of the “PayPal application” could be:

- 93 • The web site paypal.com
- 94 • The web site ebay-payments.com
- 95 • An Android app signed with a certain public key
- 96 • The iOS app with the iOS Bundle ID com.paypal
- 97 • ...

98 An application is identified through an HTTPS URL. The document at that URL lists all
99 the facets that belong to the application identified by the URL as a JSON array. The
100 FIDO client can therefore verify that a particular facet that is requesting an identity as-
101 sertion in fact belongs to the application that it claims to be a facet of.

102 4 Definitions

- 103 ● **Application:** a set of functionality provided by a common entity (the *application*
104 *owner*, aka the *Relying Party* in FIDO parlance), and perceived by the user as
105 belonging together. For example, “PayPal” is an application that allows users to
106 pay for stuff.
- 107 ● **Facet:** an (application) facet is how an application is implemented on various
108 platforms. For example, the application PayPal may have an Android app, an iOS
109 app, and a Web app. These are all facets of the PayPal application.
- 110 ● **Facet ID:** a platform-specific identifier (URI) for an application facet.
 - 111 ○ For the Web, the facet id is the web origin, written as a URI without a path
112 (e.g., “https://login.paypal.com” (default ports are omitted)).
 - 113 ○ For Android, the facet id is the URI

114 android:apk-key-hash:<hash-of-apk-signing-cert>

115 where the hash of the APK-signing cert is obtained by running the following
116 command:

```
117 keytool -exportcert -alias androiddebugkey -keystore <path-to-apk-sign-  
118 ing-keystore> &>2 /dev/null | openssl sha1 -binary | openssl base64 | sed  
119 's/=//g'
```

- 120 ○ For iOS, the facet id is the URI **ios:bundle-id:<ios-bundle-id-of-app>**
- 121 ● **Application Identity:** an HTTPS URL that resolves to a list of facet ids.

122 5 Detailed Specification

123 The picture below shows the overall architecture of a FIDO deployment (on the client side).
 124 On the various platforms (Web, Android, iOS), we imagine a platform interface
 125 that handles the API calls (“enroll”, “getIdentityAssertion”) from apps. The component in-
 126 side the platform that implements this API is called the FIDO Client.

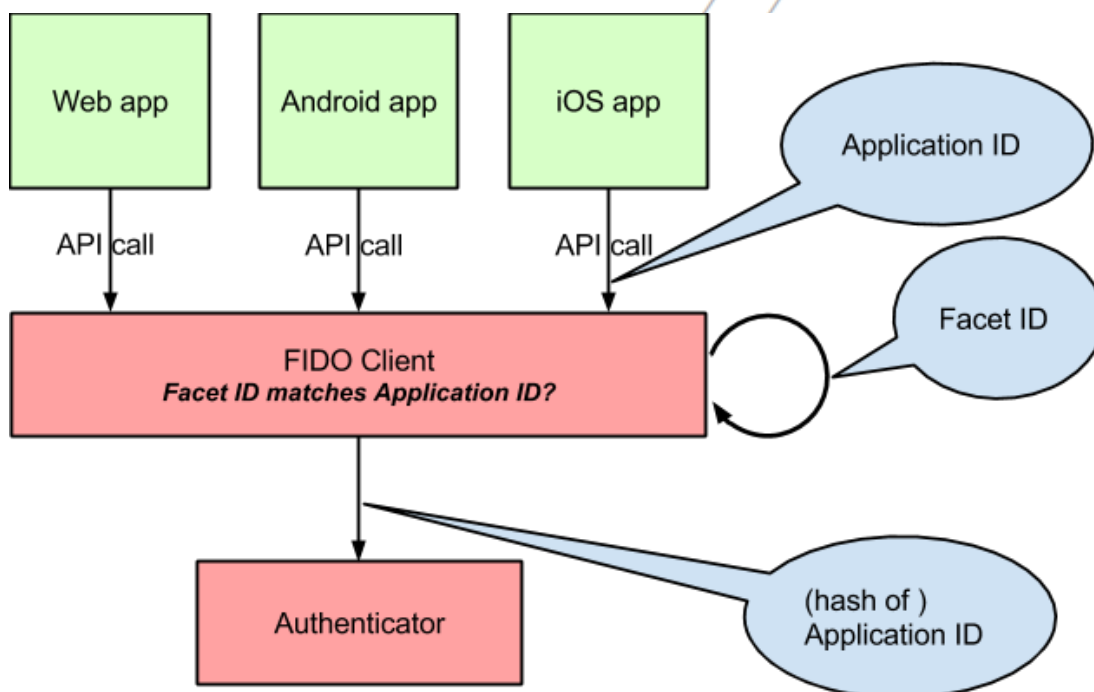


Figure 5.1: Architecture Overview

127 On the different platforms (Web, Android, iOS), the FIDO Client will be implemented dif-
 128 ferently. For example, for the Web we can imagine a browser extension that plays the
 129 role of the FIDO Client¹. On Android, the Android Account Manager could play the role
 130 of the FIDO Client.

131 On each platform, the FIDO Client will be able to identify the calling app, and thus deter-
 132 mine its facet id. For example, the browser extension (or, in the future the browser itself)
 133 will be able to see the web origin of the calling app. Similarly, an Android system com-
 134 ponent like the Account Manager can identify the APK signing key of the Android app
 135 making an API call into the Account Manager. There is a similar mechanism in iOS.

136 The main idea is that each app (or “application facet”, be it a web app, an Android app,
 137 or an iOS app) will provide to the API call its application identity.

138 The FIDO Client then establishes the facet identity of the calling app and checks that
 139 the provided application identity identifies an application that contains the calling facet
 140 as follows:

1 ¹In the future, we hope that this functionality will be built into the browser itself.

- 141 1. It identifies the calling facet: On Android, the O/S provides facilities to obtain the
142 APK signing cert of a calling app. On iOS, the O/S provides facilities to obtain the
143 iOS Bundle ID of the calling app. On the Web, the browser (and servers) usually
144 know the Web origin of callers.
- 145 2. It resolves the URL that is passed by the calling app as the Application Identity.
146 This will result in a list of facet ids, represented as a JSON array of strings.
- 147 3. If the calling facet is on the list of facet ids published through the Application
148 Identity URL, then the platform will consider the application identity verified, and
149 continue processing the request for the specified Application Identity.

150 Finally, the FIDO Client uses the (hash of) the application identity to direct the FIDO au-
151 thenticator as to which authentication key to use.

152 Let's look at registration and sign-in separately:

153 5.1 Registration

154 The registration API allows the calling facet to pass, among other things, the application
155 identity to the FIDO client.

156 Because the FIDO client can identify the calling facet (see above), it now knows two
157 things:

- 158 1. The identity of the calling facet, and
- 159 2. the application identity that the calling facet wants to invoke.

160 The FIDO client now checks the facet identity assertion and thus verifies that the appli-
161 cation claims the calling facet as one of its own (see above). The FIDO client requests
162 that the authenticator generate a user authentication keypair that is bound to the appli-
163 cation identity URL. The authenticator responds with the following data:

- 164 • a key handle
- 165 • a public user authentication key (signed by an attestation key),

166 which the FIDO client passes on to the application. The application stores (presumably
167 server-side) the key handle and public key.

168 5.2 Sign-In

169 The sign-in API allows the calling facet to pass, among other things, the following data
170 to the FIDO client:

- 171 • the application identity

- 172 • a challenge from the relying party
 173 • a key handle.

174 The FIDO client checks that the facet identity matches the provided application identity,
 175 using the mechanism described above. It then creates an authenticator-challenge by
 176 hashing the following data:

- 177 • the challenge from the relying party
 178 • the facet identity (note that in the case of the Web this is the origin)
 179 • optionally some channel-binding data such as the client's Channel ID

180 It sends the authenticator-challenge, the key handle, and the (hash of the) application
 181 identity key to the authenticator. The authenticator checks that the key indicated by the
 182 key handle can be used for the provided application identity and if so, signs the authen-
 183 ticator-challenge.

184 The FIDO client, upon receiving the signature, returns the signature along with the au-
 185 thenticator-challenge preimage (i.e., the facet identity, channel-binding data, etc.) to the
 186 calling facet, which sends the data to its server. The server checks (among other things)
 187 that the facet identity in the authenticator-challenge preimage is one of its facets, and
 188 verifies the signature with the public user authentication key.

189 5.3 Example

190 ACME, Inc. might create the following application identity: [https://acme.com/app-](https://acme.com/app-identity)
 191 [identity](https://acme.com/app-identity). This URL, when resolved by a client, could return the following content:

```
192 [
193   'https://login.acme.com',
194   'android:apk-key-hash:2jmj715rSw0yVb/vlWAYkK/YBwk'
195   'ios:bundle-id:com.acme.app'
196 ]
```

197 The ACME Android app might decide to create a keypair by using an API such as this:

```
198 KeyPair keyPair =
199   FIDO_U2F_API.enroll("https://acme.com/app-identity");
```

200 The FIDO_U2F_API class passes the call to the operating system, which performs the
 201 following steps:

- 202 1. It identifies the calling Android app as being signed by certain APK signing key,
 203 and hence its Android "facet id" as *android:apk-key-hash:2jmj715rSw0yVb/vl-*
 204 *WAYkK/YBwk*

- 205 2. It resolves the supplied URL <https://acme.com/app-identity> and obtains the JSON
206 array shown above.
- 207 3. It checks whether the facet id is in the list of ids contained in the application URL
208 document. (It is.)
- 209 4. It instructs the authenticator to create a new key pair that is bound to the applica-
210 tion identity '<https://acme.com/app-identity>'.

211 Let's assume that the authenticator is now moved from the Android device to a laptop
212 running a web browser. The user visits <https://login.acme.com/login-page>, which con-
213 tains Javascript calling a similar API, this time making use of the key pair:

```
214 assertion = navigator.u2f.sign(challenge, "https://acme.com/app-identity");
```

215 This time, the browser will perform the following steps:

- 216 1. It identifies the calling origin as <https://login.acme.com>
- 217 2. It resolves the supplied URL <https://acme.com/app-identity> and obtains the JSON
218 array shown above.
- 219 3. It checks whether the calling origin is in the list of ids contained in the application
220 URL document. (It is.)
- 221 4. It then forwards the request to sign the challenge to the authenticator, noting the
222 application identity to be '<https://acme.com/app-identity>'.

223 Facet Identity Confusion

224 A rogue application facet must not be allowed to talk to the authenticator directly, since
225 it could forge the facet identity in the authenticator-challenge (and lie about its applica-
226 tion identity), thus obtaining an identity assertion for a different application. On the vari-
227 ous platforms, we achieve this in different ways:

- 228 ● On the web, we simply don't expose the API that would allow direct access to the
229 authenticator to web applications. A browser extension (and obviously the
230 browser itself) on the other hand, will have access to such an API (e.g., this is al-
231 ready the case if the authenticator is connected through USB).
- 232 ● On mobile operating systems, we imagine that special permissions will be re-
233 quired to talk to the authenticator directly. The FIDO client will have such permis-
234 sions, and it will be rare for other applications to need such permissions. All ap-
235 plications that request such permissions should be audited by the respective
236 owner of the app stores on the various platforms, and should be removed from
237 the app store if they are found to abuse these permissions.

238 Application Identity Confusion

239 What happens when a rogue application facet can trick the FIDO client into associating
240 it with the wrong application? Since the facet identity will always be part of the authenti-
241 cator's identity assertion (except if there is facet identity confusion - see above), the re-
242 sulting identity assertion will be issued to the rogue facet. When the facet attempts to
243 use the identity assertion with the application that it (wrongly) claimed to be part of, this
244 will therefore be detected. What *can* happen, however, is that the authenticator uses a
245 different signing key to issue to the identity assertion.

246 In summary, a weakness in the facet identification mechanism results in a *security* vul-
247 nerability, i.e., identity assertions that are issued to facets other than those legitimately
248 belonging to an application. In contrast, a weakness in the application-id matching
249 mechanism results in a *privacy* (but *not* the above-mentioned security) vulnerability,
250 causing the authenticator to use a key (in other words, a user identifier) that should
251 have been reserved for a different application.

252 Discussion

253 Q: What about Windows and Mac OS?

254 A: Windows and Mac OS are in the process of being able to isolate and identify applica-
255 tions similar to mobile operating systems. Until such mechanisms become available, we
256 can provide best-effort app identification (but obviously with much lower reliability). Al-
257 ternatively, we could decide to only support the Web platform on these operating sys-
258 tems for the time being.

259 Q: What about browsers on Android/iOS?

260 A: One approach would be to support two (types of) FIDO Clients on these platforms:
261 One that lives inside (each) browser, and one that handles API calls from native apps.
262 Another approach would be to have one FIDO client on the platform, and treat browser
263 special: Unlink other applications, a (white-listed) browser would be able to assert facet
264 ids to the FIDO client.

265 **Bibliography**

266 *FIDO Alliance Documents:*

267 **[FIDOGlossary]** Rolf Lindemann, Davit Baghdasaryan, Brad Hill, John Kemp. FIDO
268 Technical Glossary. Version v1.0-rd-20140209, FIDO Alliance, February 2014. See
269 <http://fidoalliance.org/specs/fido-glossary-v1.0-rd-20140209.pdf>

270 **[U2FOverview]** Sampath Srinivas, Dirk Balfanz, Eric Tiffany. FIDO Universal 2nd
271 Factor (U2F) Overview. Version v1.0-rd-20140209, FIDO Alliance, February 2014. See
272 <http://fidoalliance.org/specs/fido-u2f-overview-v1.0-rd-20140209.pdf>

273 *Other References:*

274 **[RFC2119]** Key words for use in RFCs to Indicate Requirement Levels ([RFC2119](#)), S.
275 Bradner, March 1997