



IMPLEMENTATION DRAFT

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Editors:

Alexei Czeskis, [Google, Inc.](#)

Juan Lang, [Google, Inc.](#)

Contributors:

Scott Walsh, [Plantronics, Inc.](#)

Deniz Akkaya, [Yubico, Inc.](#)

Jakub Pawlowski, [Google, Inc.](#)

Hannes Tschofenig, [ARM Ltd.](#)

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Abstract

The FIDO U2F framework was designed to be able to support multiple Authenticator form factors. This document describes the communication protocol with Authenticators over Bluetooth including both Basic Rate Enhanced Data Rate (BR/EDR) and Bluetooth Smart (referred to in this document as *Bluetooth Low Energy* or *BLE*).

There are multiple form factors possible for Authenticators. Some might be low cost, low power devices, and others might be implemented as an additional feature of a more powerful device, such as a smartphone. The design proposed here is meant to support multiple form factors, including but not necessarily limited to these two examples.

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1. Notation

Type names, attribute names and element names are written as `code`.

String literals are enclosed in “”, e.g. “UAF-TLV”.

In formulas we use “|” to denote byte wise concatenation operations.

DOM APIs are described using the ECMAScript [[ECMA-262](#)] bindings for WebIDL [[WebIDL](#)].

UAF specific terminology used in this document is defined in [[FIDOGlossary](#)].

1.1 Key Words

The key words “**MUST**”, “**MUST NOT**”, “**REQUIRED**”, “**SHALL**”, “**SHALL NOT**”, “**SHOULD**”, “**SHOULD NOT**”, “**RECOMMENDED**”, “**MAY**”, and “**OPTIONAL**” in this document are to be interpreted as described

in [RFC2119].

2. Pairing

BR/EDR and BLE are long-range wireless protocols and thus have several implications for privacy, security, and overall user-experience. Because they are wireless, BR/EDR and BLE may be subject to monitoring, injection, and other network-level attacks.

For these reasons, Clients and Authenticators **MUST** create and use a long-term link key (LTK) and **SHALL** encrypt all communications. Authenticator **MUST** never use short term keys.

Because BR/EDR and BLE have poor ranging (*i.e.*, there is no good indication of proximity), it may not be clear to a FIDO Client with which BR/EDR or BLE Authenticator it should communicate. Pairing is the only mechanism defined in this protocol to ensure that FIDO Clients are interacting with the expected BR/EDR or BLE Authenticator. As a result, Authenticator manufacturers **SHOULD** instruct users to avoid performing Bluetooth pairing in a public space such as a cafe, shop or train station.

One disadvantage of using standard Bluetooth pairing is that the pairing is "system-wide" on most operating systems. That is, if an Authenticator is paired to a FIDO Client which resides on an operating system where Bluetooth pairing is "system-wide", then any application on that device might be able to interact with an Authenticator. This issue is discussed further in Implementation Considerations.

3. Link Security

For BLE connections, the Authenticator **SHALL** enforce **Security Mode 1, Level 2** (unauthenticated pairing with encryption) before any U2F messages are exchanged.

For BR/EDR connections, the Authenticator **SHOULD** use Secure Simple Pairing when possible, **Security Mode 4** or better. Encryption **SHALL** be enabled using a key size of 16 bytes before any U2F messages are sent.

4. Framing

Conceptually, framing defines an encapsulation of U2F raw messages responsible for correct transmission of a single request and its response by the transport layer (BR/EDR or Bluetooth Low Energy).

All requests and their responses are conceptually written as a single frame. The format of the requests and responses is given first as complete frames. Fragmentation is discussed next for each type of transport layer.

4.1 Request from Client to Authenticator

Request frames must have the following format

Offset	Length	Mnemonic	Description
0	1	CMD	Command identifier

1	1	HLEN	High part of data length
2	1	LLEN	Low part of data length
3	s	DATA	Data (s is equal to the length)

Supported commands are **PING** and **MSG**. The constant values for them are described below.

Data format is defined in [U2FRAWMESSAGES].

4.2 Response from Authenticator to Client

Response frames must have the following format, which share a similar format to the request frames:

Offset	Length	Mnemonic	Description
0	1	STAT	Response status
1	1	HLEN	High part of data length
2	1	LLEN	Low part of data length
3	s	DATA	Data (s is equal to the length)

When the status byte in the response is the same as the command byte in the request, the response is a successful response. The value **ERROR** indicates an error, and the response data contains an error code as a variable-length, big-endian integer. The constant value for **ERROR** is described below.

Note that the errors sent in this response are errors at the encapsulation layer, *e.g.*, indicating an incorrectly formatted request, or possibly an error communicating with the Authenticator's U2F message processing layer. Errors reported by the U2F message processing layer itself are considered a success from the encapsulation layer's point of view, and are reported as a complete **MSG** response.

Data format is defined in [U2FRAWMESSAGES]. Note that as per [U2FRAWMESSAGES] (and unlike the NFC transport specification), all communication **SHALL** be done using extended length APDU format.

4.3 Command and status constants

Constant	Value
PING	0x81
KEEPALIVE	0x82
MSG	0x83

ERROR	0xbf
-------	------

The KEEPALIVE command contains a single byte with the following possible values:

Status Constant	Value
Processing	0x01
TUPNeeded	0x02
RFU	0x00, 0x03-0xFF

5. Bluetooth BR/EDR

5.1 Procedure Overview

The general procedure is as follows:

1. If the Client and Authenticator are not yet bonded, the Authenticator becomes discoverable (enters Discoverable Mode). An Authenticator **SHALL** only allow connections from new Clients while in this mode.
2. Client connects to Authenticator. If not already paired, Client and Authenticator perform Bluetooth bonding to create a link key and connect. Authenticator **SHALL** only allow connections from previously bonded Clients without user intervention.
3. Client performs service discovery on the Authenticator.
4. Client connects to the FIDO U2F service.
5. Client writes a request (e.g., an enroll request)
6. Authenticator evaluates the request and responds.
7. The connection is closed by the Client or the connection times out and is closed by the Authenticator.

5.2 Discovery Mode

When the Authenticator is in Bluetooth discovery mode, it **SHOULD** include a device name in the Extended Inquiry Response (EIR) packet. The device name should be distinctive and user-identifiable. For example, "ACME Key" would be an appropriate name, while "XJS4" would not be.

5.3 Service Discovery Protocol

The Authenticator **SHALL** contain a Service Discovery Protocol (SDP) record with the following data:

```
uint8 fido_client_spp_sdprecord [] =
{
    0x09, 0x00, 0x01, /* ServiceClassIDList(0x0001) */
    0x35, 0x04, /* DataElSeq 4 bytes */
    0xFD, 0xFF, /*UUID 0xFFFFD*/
}
```

```

0x09, 0x00, 0x04, /* ProtocolDescriptorList(0x0004) */
0x35, 0x0c, /* DataElSeq 12 bytes */
0x35, 0x03, /* DataElSeq 3 bytes */
0x19, 0x01, 0x00, /* UUID L2CAP(0x0100) */
0x35, 0x05, /* DataElSeq 5 bytes */
0x19, 0x00, 0x03, /* UUID RFCOMM(0x0003) */
0x08, 0x00,
/* uint8 0x00 - Change 0x00 to actual RFCOMM Channel Number */
0x09, 0x00, 0x06, /* LanguageBaseAttributeIDList(0x0006) */
0x35, 0x09, /* DataElSeq 9 bytes */
0x09, 0x65, 0x6e, /* uint16 0x656e */
0x09, 0x00, 0x6a, /* uint16 0x006a */
0x09, 0x01, 0x00, /* uint16 0x0100 */
0x09, 0x01, 0x00,
/* ServiceName(0x0100) = "U2FAUTHDEVICE" */
0x25, 0x0D, /* String length 13 */
'U', '2', 'F', 'A', 'U', 'T', 'H', 'D', 'E', 'V', 'I', 'C', 'E'
};

```

5.4 Communication

If one or both of the Authenticator and Client only supports BR/EDR, they **SHALL** communicate over RFCOMM.

If both Authenticator and Client are dual mode devices, they **SHALL** communicate using GATT over L2CAP on the BREDR connection.

5.5 RFCOMM Framing

No fragmentation is supported as communication over RFCOMM should be able to handle all messages without fragmentation.

6. Bluetooth Low Energy

Authenticator and Client devices using BLE **SHALL** conform to Bluetooth Core Specification 4.0 or later [BTCORE]

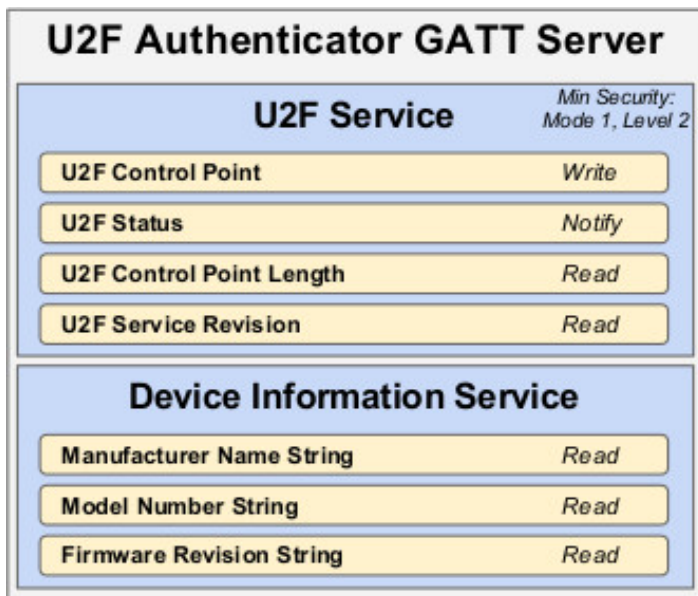
Bluetooth(tm) SIG specified UUID values **SHALL** be found on the Assigned Numbers website [BTASSNUM]

6.1 GATT Service Description

This profile defines two roles: FIDO Authenticator and FIDO Client.

- The FIDO Client shall be a GATT Client
- The FIDO Authenticator shall be a GATT Server

The following graphic illustrates the mandatory services and characteristics that **SHALL** be offered by a FIDO Authenticator as part of its GATT server:



The table below summarizes additional GATT sub-procedure requirements for a FIDO Authenticator (GATT Server) beyond those required by all GATT Servers.

GATT Sub-Procedure	Requirements
Write Characteristic Value	Mandatory
Notifications	Mandatory
Read Characteristic Descriptors	Mandatory
Write Characteristic Descriptors	Mandatory

The table below summarizes additional GATT sub-procedure requirements for a FIDO Client (GATT Client) beyond those required by all GATT Clients.

GATT Sub-Procedure	Requirements
Discover All Primary Services	(*)
Discover Primary Services by Service UUID	(*)
Discover All Characteristics of a Service	(**)
Discover Characteristics by UUID	(**)
Discover All Characteristic Descriptors	Mandatory
Read Characteristic Value	Mandatory
Write Characteristic Value	Mandatory
Notification	Mandatory
Read Characteristic Descriptors	Mandatory

(*): Mandatory to support at least one of these sub-procedures.

(**): Mandatory to support at least one of these sub-procedures.

Other GATT sub-procedures may be used if supported by both client and server.

Specifics of each service are explained below. In the following descriptions: all values are big-endian coded, all strings are in UTF-8 encoding, and any characteristics not mentioned explicitly are optional.

6.1.1 U2F Service

An Authenticator **SHALL** implement the U2F Service described below. The UUID for the FIDO U2F GATT service is **0xFFFD**, it shall be declared as a Primary Service. The service contains the following characteristics:

Characteristic Name	Mnemonic	Property	Length	UUID
U2F Control Point	u2fControlPoint	Write	Defined by Vendor (20-512 bytes)	F1D0FFF1-DEAA-ECEE-B42F-C9BA7ED623BB
U2F Status	u2fStatus	Notify	N/A	F1D0FFF2-DEAA-ECEE-B42F-C9BA7ED623BB
U2F Control Point Length	u2fControlPointLength	Read	2 bytes	F1D0FFF3-DEAA-ECEE-B42F-C9BA7ED623BB
U2F Service Revision	u2fServiceRevision	Read	Defined by Vendor (20-512 bytes)	0x2A28

u2fControlPoint is a write-only command buffer.

u2fStatus is a notify-only response attribute. The Authenticator will send a series of notifications on this attribute with a maximum length of (ATT_MTU-3) using the response frames defined above. This mechanism is used because this results in a faster transfer speed compared to a notify-read combination.

u2fControlPointLength defines the maximum size in bytes of a single write request to **u2fControlPoint**. This value **SHALL** be between 20 and 512.

u2fServiceRevision defines the revision of the U2F Service. The value is a UTF-8

string. For this version of the specification, the value `u2fServiceRevision` SHALL be `1.0` or in raw bytes: `0x312e30`.

The `u2fServiceRevision` Characteristic MAY include a Characteristic Presentation Format descriptor with format value `0x19`, `UTF-8 String`.

6.1.2 Device Information Service

An Authenticator SHALL implement the Device Information Service [BTDIS] with the following characteristics:

- Manufacturer Name String
- Model Number String
- Firmware Revision String

All values for the Device Information Service are left to the vendors. However, vendors should not create uniquely identifiable values so that Authenticators do not become a method of tracking users.

6.1.3 Generic Access Service

Every Authenticator SHALL implement the Generic Access Service [BTGAS] with the following characteristics:

- Device Name
- Appearance

6.2 Protocol Overview

The general overview of the communication protocol follows:

1. Authenticator advertises the FIDO U2F service.
2. Client scans for Authenticator advertising the FIDO U2F service.
3. Client performs characteristic discovery on the Authenticator.
4. If not already paired, the Client and Authenticator SHALL perform BLE pairing and create a LTK. Authenticator SHALL only allow connections from previously bonded Clients without user intervention.
5. Client reads the `u2fControlPointLength` characteristic.
6. Client registers for notifications on the `u2fStatus` characteristic.
7. Client writes a request (e.g., an enroll request) into the `u2fControlPoint` characteristic.
8. Authenticator evaluates the request and responds by sending notifications over `u2fStatus` characteristic.
9. The connection is closed by the Client or the connection times out and is closed by the Authenticator.

6.3 Authenticator Advertising Format

When advertising, the Authenticator **SHALL** advertise the FIDO U2F service UUID.

When advertising, the Authenticator **MAY** include the TxPower value in the advertisement (see [BTXPLAD]).

The advertisement **MAY** also carry a device name which is distinctive and user-identifiable. For example, "ACME Key" would be an appropriate name, while "XJS4" would not be.

The Authenticator **SHALL** also implement the Generic Access Profile [BTGAP] and Device Information Service [BTDIS], both of which also provide a user friendly name for the device which could be used by the Client.

It is not specified when or how often an Authenticator should advertise, instead that flexibility is left to manufacturers.

6.4 Requests

Clients **SHOULD** make requests by connecting to the Authenticator and performing a write into the **u2fControlPoint** characteristic.

6.5 Responses

Authenticators **SHOULD** respond to Clients by sending notifications on the **u2fStatus** characteristic.

Some Authenticators might alert users or prompt them to complete the test of user presence (e.g., via sound, light, vibration, etc.) Upon receiving any request, the Authenticators **SHALL** respond within **kMaxInitialResponseMillis**. The Authenticators **SHALL** send KEEPALIVE BLE commands every **kKeepAliveMillis** milliseconds. While the Authenticator is processing the request the KEEPALIVE BLE command will contain status **Processing**. As soon the Authenticator has completed the processing it **SHALL** either send the reply or wait for user presence. If a wait-for-user-presence state is entered the KEEPALIVE will contain the **TUPNeeded** status. The Authenticators **MAY** alert the user (e.g., by flashing) in order to prompt the user to complete the test of user presence. Upon receiving a KEEPALIVE message, the Client **SHALL** assume the Authenticator is still processing the command; the Client **SHALL** not resend the command. The Authenticator **SHALL** continue sending KEEPALIVE messages at least every **kKeepAliveMillis** to indicate that it is still handling the request. Until a client-defined timeout occurs, the Client **SHALL NOT** move on to other devices when it receives a KEEPALIVE with **TUPNeeded** status, as it knows this is a device that can satisfy its request.

6.6 Framing fragmentation

A single request/response sent over BLE **MAY** be split over multiple writes and notifications, due to the inherent limitations of BLE which is not currently meant for large messages. Frames are fragmented in the following way:

A frame is divided into an *initialization fragment* and one or more *continuation fragments*.

An initialization fragment is defined as:

Offset	Length	Mnemonic	Description
0	1	CMD	Command identifier
1	1	HLEN	High part of data length
2	1	LLEN	Low part of data length
3	maxLen - 3	DATA	Data

where **maxLen** is the maximum packet size supported by the characteristic or notification.

In other words, the start of an initialization fragment is indicated by setting the high bit in the first byte. The subsequent two bytes indicate the total length of the frame, in big-endian order. The first **maxLen** - 3 bytes of data follow.

Continuation fragments are defined as:

Offset	Length	Mnemonic	Description
0	1	SEQ	Packet sequence 0x00..0x7f (high bit always cleared)
1	maxLen - 1	DATA	Data

where **maxLen** is the maximum packet size supported by the characteristic or notification.

In other words, continuation fragments begin with a sequence number, beginning at 0, implicitly with the high bit cleared.

Example for sending a **PING** command with 40 bytes of data with a **maxLen** of 20 bytes:

Frame	Bytes
0	[810028] [17 bytes of data]
1	[00] [19 bytes of data]
2	[01] [4 bytes of data]

Example for sending a ping command with 400 bytes of data with a **maxLen** of 512 bytes:

Frame	Bytes

6.7 Implementation Considerations

6.7.1 Bluetooth pairing: Client considerations

As noted in the Pairing section, a disadvantage of using standard Bluetooth pairing is that the pairing is "system-wide" on most operating systems. That is, if an Authenticator is paired to a FIDO Client which resides on an operating system where Bluetooth pairing is "system-wide", then any application on that device might be able to interact with an Authenticator. This poses both security and privacy risks to users.

While Client operating system security is partly out of FIDO's scope, further revisions of this specification **MAY** propose mitigations for this issue.

6.7.2 Bluetooth pairing: Authenticator considerations

The method to put the Authenticator into Pairing Mode should be such that it is not easy for the user to do accidentally **especially** if the pairing method is Just Works. For example, the action could be pressing a physically recessed button or pressing multiple buttons. A visible or audible cue that the Authenticator is in Pairing Mode should be considered. As a counter example, a silent, long press of a single non-recessed button is not advised as some users naturally hold buttons down during regular operation.

6.7.3 Handling command completion

One of the benefits of the Bluetooth, and especially, Bluetooth Low Energy protocols is that they allow Authenticators to be battery-operated devices with low power requirements. A key consideration for such devices is to be able to conserve power by shutting down or switching to a lower-power state when they have satisfied a Client's requests.

On the other hand, the design of the protocol typically requires more than one command before completion. This is especially true when one command is rejected due to a key handle error: if a user has more than one key handle associated with an account or identity, multiple key handles may need to be tried before getting a successful outcome. At the same time, a Client that fails to send followup commands in a timely fashion may cause the Authenticator to drain its battery by staying powered up anticipating more commands.

A further consideration for an Authenticator is to ensure that a user is not confused about which command she is confirming by completing the test of user presence. That is, if a user performs the test of user presence, that action should perform exactly one operation.

We combine these considerations into the following series of recommendations:

- Upon initial connection to an Authenticator, and upon receipt of a response from an Authenticator, if a Client has more commands to issue, the Client **MUST**

transmit the next command or fragment within `kMaxCommandTransmitDelayMillis` milliseconds.

- Upon successful completion of a command which required a test of user presence, e.g. upon a successful authentication or registration command, the Authenticator can assume the Client is satisfied, and **MAY** reset its state or power down.
- Upon sending a command response that did not consume a test of user presence, the Authenticator **MUST** assume that the Client may wish to initiate another command, and leave the connection open until the Client closes it or until a timeout of at least `kErrorWaitMillis` elapses. Examples of command responses that do not consume user presence include failed authenticate or register commands, as well as get version responses, whether successful or not. After `kErrorWaitMillis` milliseconds have elapsed without further commands from a Client, an Authenticator **MAY** reset its state or power down.

Constant	Value
<code>kMaxCommandTransmitDelayMillis</code>	1500 milliseconds
<code>kErrorWaitMillis</code>	2000 milliseconds
<code>kMaxInitialResponseMillis</code>	500 milliseconds
<code>kKeepAliveMillis</code>	500 milliseconds

6.7.4 Data throughput

BLE does not have particularly high throughput, this can cause noticeable latency to the user if request/responses are large. Some ways that implementers can reduce latency are:

- Support the maximum MTU size allowable by hardware (up to the 512 bytes max from the BLE specifications).
- Make the attestation certificate as small as possible, do not include unnecessary extensions.

6.7.5 Advertising

Though the standard doesn't appear to mandate it (in any way that we've found thus far), advertising and device discovery seems to work better when the Authenticators advertise on all 3 advertising channels and not just one.

6.7.6 Authenticator Address Type

In order to enhance the user's privacy and specifically to guard against tracking, it is recommended that Authenticators use Resolvable Private Addresses (RPAs) instead of static addresses.

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